

Addressing Inequities in the Pediatric Asthma Patient

Wanda Phipatanakul, M.D., M.S.
wanda.phipatanakul@childrens.harvard.edu

*S. Jean Emans, MD, Professor of Pediatrics
Harvard Medical School
Director, Clinical Research Center
Boston Children's Hospital*



HARVARD
MEDICAL SCHOOL

Disclosures

Wanda Phipatanakul, M.D., MS

- Consultant/Honoraria: GlaxoSmithKline, Genentech, Novartis, Regeneron
- Funding: NIH
- Clinical Trial Support/Medications/Grant Support: Genentech, Novartis, Regeneron, GlaxoSmithKline, Thermo Fisher, Monaghan, Alk Abello, Lincoln Diagnostics, Kaleo
- Neither I nor my spouse/partner have any other relevant financial relationships with the manufacturer(s) or any commercial product(s) and/or provider of commercial products or services discussed in this CME activity.
- I do not intend to discuss unapproved/investigative use of commercial product(s)/device(s) in my presentation.

Objectives

1. To further our understanding of community and environmental risk factors as social determinants of health
2. To identify interventions to reduce risk and even prevent disease outcomes
3. To identify predictors of response to these interventions

Case

- 7-year old Puerto Rican boy with frequent wheezing episodes.
- Referred to A/I/P specialist but has “no showed” to these two scheduled visits.
- Parents are divorced and mother immigrated 18 months ago
- Lapse in Medicaid coverage after family evicted from apt as landlord didn't pay mortgage
- Lives in a shelter near a major expressway
- Flovent 110mcg 2puffs twice daily – w/o spacer (no access)
- Allergen skin testing demonstrates positives to mouse allergen and dust mite
- He attends an urban school and notices his asthma symptoms are more pronounced at school

What are some Social Determinants of Health to consider in this scenario?

- Social determinants of health (SDOH) defined by WHO as “conditions in which people are born, grow up, live, work and age.”
- Influence health, risk of illness and life expectancy.
- Social inequities in health—the unfair and avoidable differences in health status across groups in society—due to uneven distribution of social determinants.



Davis et al JACI 2021, <https://health.gov/healthypeople/objectives-and-data/social-determinants-health>,
<https://www.cdc.gov/healthyyouth/disparities/index.htm>

Addressing inequities in asthma by focusing on children's environments

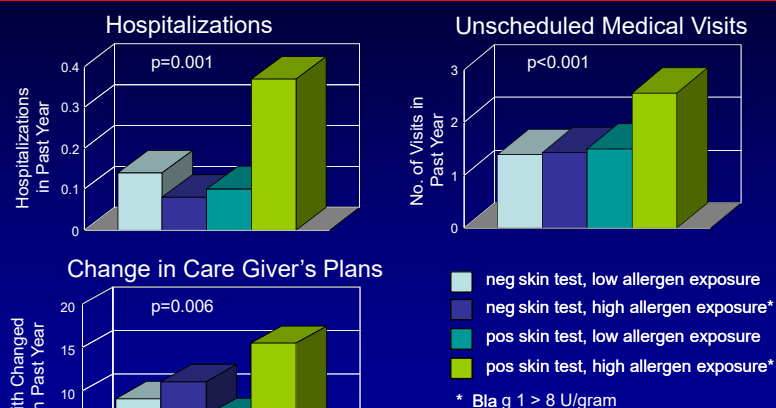


For the past 20 Dr. Wanda Phipatanakul has been asking why asthma hits so hard in urban and lower-income areas. (Image: AdobeStock/Illustration: Sebastian Stankiewicz, Boston Children's Hospital)

<https://answers.childrenshospital.org/asthma-inequities/>

What do we know about HOME exposures and health disparities?

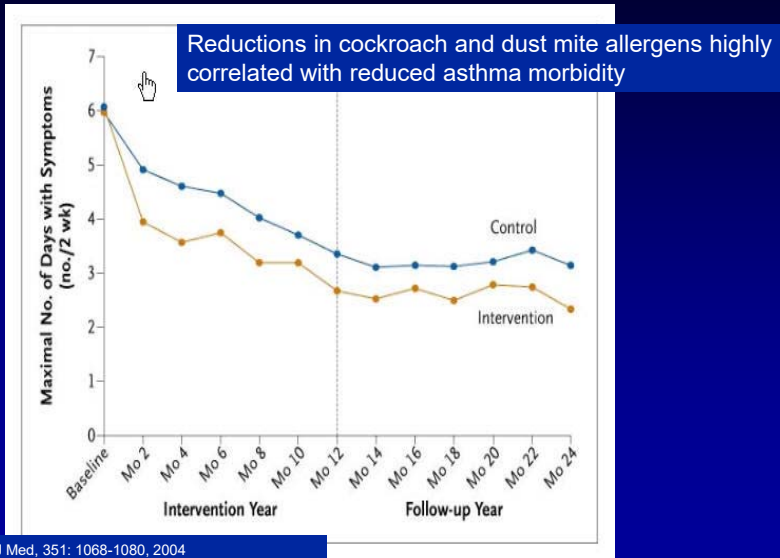
Home Cockroach Allergen Exposure and Allergy Increases Asthma Morbidity in Urban Children



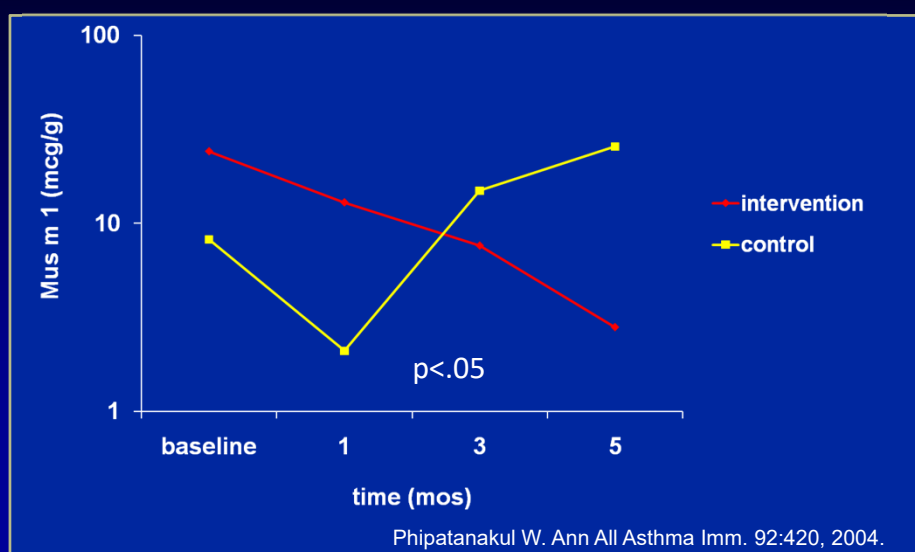
Rosenstreich et al., N Eng J Med, 336: 1356-1363, 1997

Similar Relationships in Home Mouse Allergen
Phipatanakul et al, *J Allergy Clin Immunol* 2000; 106:1070-4
Phipatanakul et al, *J Allergy Clin Immunol* 2000; 106:1075-80

Multi-Faceted Home Intervention Works in Reducing Asthma Morbidity In Urban Kids



Focused Integrated Pest Management & Mouse Allergen



Research

Both IPM & Education Groups
had ~70% reductions in home
mouse allergen levels

Both arms had similar
reductions in symptoms,
morbidity

management education alone groups, 3.04 were included in the primary analysis. For the primary outcome, there was no statistically significant between-group difference for measured symptom days across 6, 9, and 12 months with a median of 2.0 (interquartile range, 0.7-4.7) measured symptom days in the IPM plus pest management education group and 2.7 (interquartile range, 1.3-5.0) measured symptom days in the pest management education alone group ($P = .36$) and a ratio of symptom frequencies of 0.80 (95% CI, 0.69-1.06).

CONCLUSIONS AND RELEVANCE: Among mouse-sensitized and exposed children and adolescents with asthma, an intensive year-long integrated pest management intervention plus pest management education or pest management education alone resulted in no significant difference in measured symptom days from 6 to 12 months.

TRIAL REGISTRATION: Clinicaltrials.gov Identifier: NCT01050224

JAMA. 2016;315(10):1016-1026.
Published online March 15, 2016.

Author Affiliations: Author affiliations are listed at the end of this article.

Corresponding Author: Chulabha C. Horan, MD, MPH, Interventional Program, 525 North Dearborn St, Chicago, IL 60610 (horan@northwestern.edu).

Journal of the
American Medical Association

EC Matsui, M Perzanowski et al., and W Phipatanakul

JAMA 2016

Effect of an Integrated Pest Management Intervention
on Asthma Symptoms Among Mouse-Sensitized
Children and Adolescents With Asthma: A Randomized
Clinical Trial

**EITHER arm that had 90% reduction
in exposure, the benefit was similar
or surpassed ICS without associated
side effects**

*Available at jama.com and on The JAMA Network Reader at mobile.jamanetwork.com

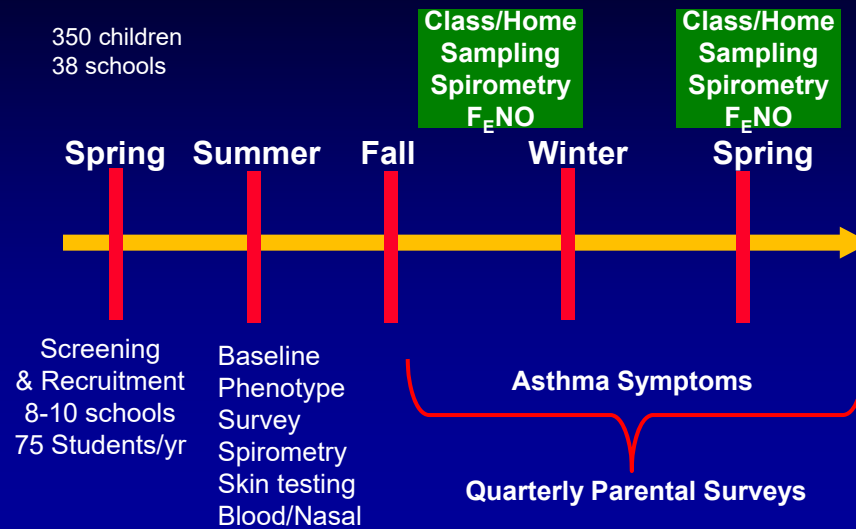
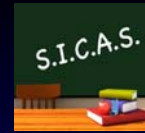
jamanetwork.com

Decades of work in HOMES

What about schools?

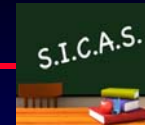
School Inner-City Asthma Study Repeating Annual Schema

R01 AI073964 - Phipatanakul PI



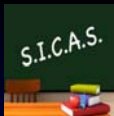
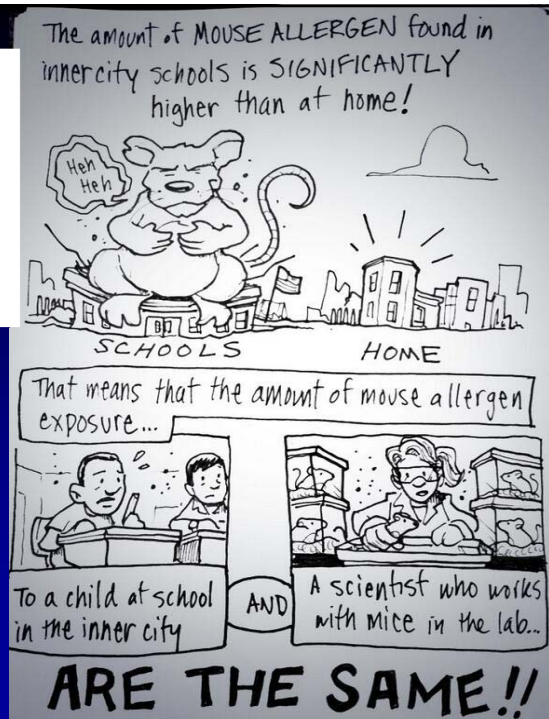
What's Involved in a Comprehensive School Study....

- 8 Air Samplers
- 2-3 Vacuums/ Dust Samplers
- 4 Burkard Mold Samplers
- 8 Pollution Samplers
- 8 NO₂ Samplers
- 3-4 Staff
- 1-2 Cars/Vans

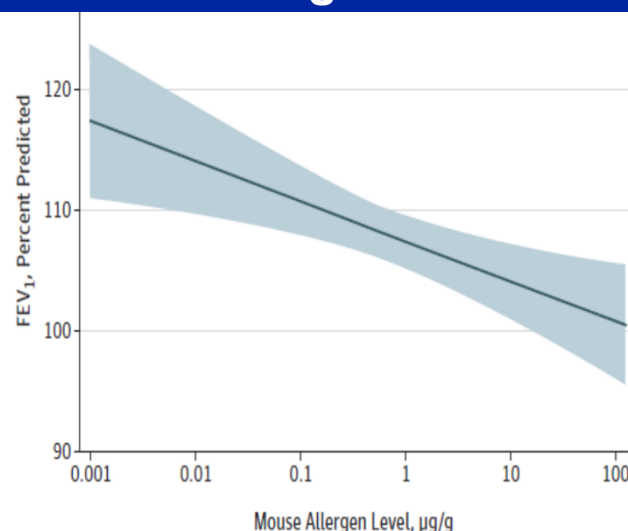
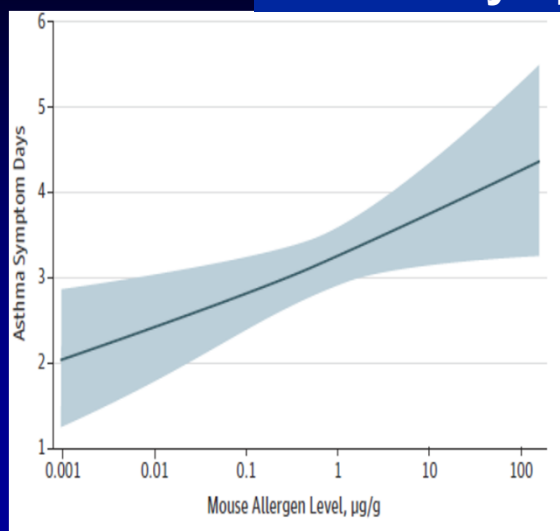


Twitter:
Booster Shot Comics
@BoosterShotCmx

What did Dr. Phipatanakul find in her study on inner city schools? Spoiler: its totally mice #AAAAI #graphicmedicine



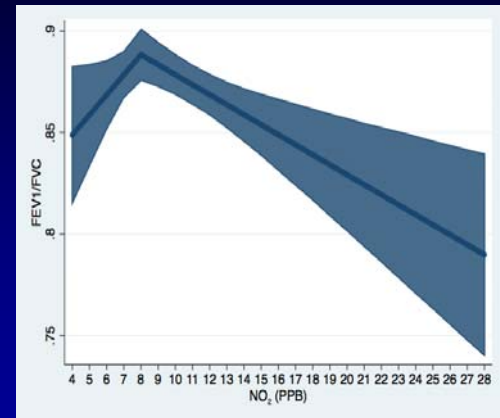
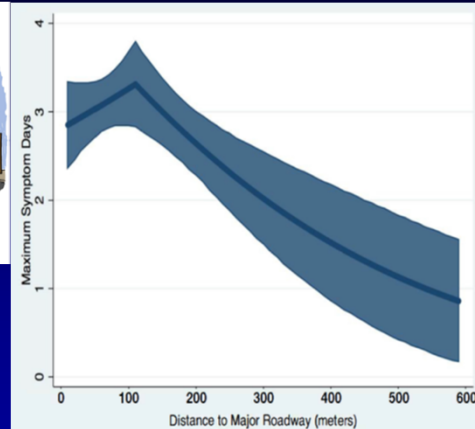
Classroom Mouse Allergen Exposure and Asthma Symptoms and Lung Function



Sheehan WJ, et al JAMA Peds 2017



Urban schools, traffic and distance to roadways, air pollution and asthma morbidity

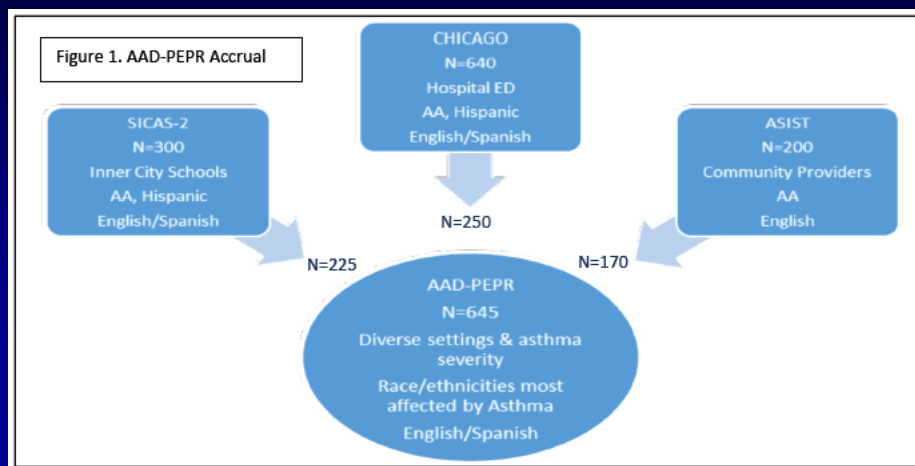


Gaffin, JM, et al JACI 2018 and Hauptman, et al JACI 2020

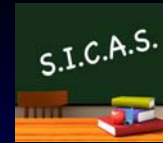
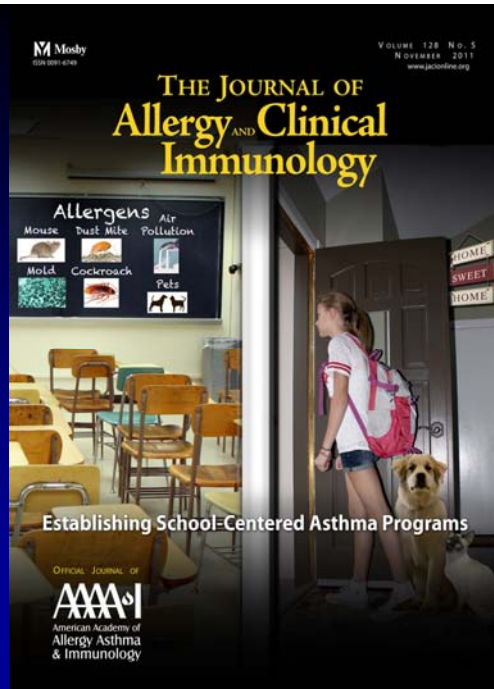
Pediatric Patient Reported Outcomes in Chronic Diseases (PEPR) Consortium



Type 1 Diabetes	Sickle Cell Disease	Rheumatic Disease (JIA, SLE)	IBD -Crohn's/UC	Chronic Kidney Disease	Cancer (Active)	Cancer (Survivorship)
-----------------	---------------------	------------------------------	-----------------	------------------------	-----------------	-----------------------



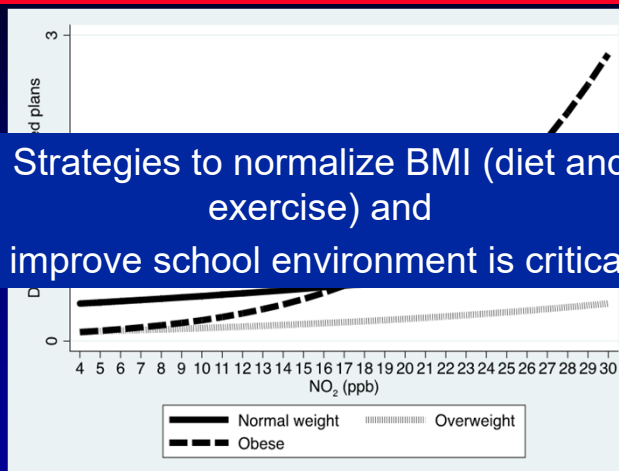
NIAMS U19AR069526-Phipatanakul/Hauptman Geocoding Core Lead



Designed Phipatanakul lab/AAAAI



How does BMI interact with school pollution exposure and asthma?



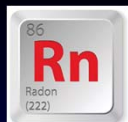
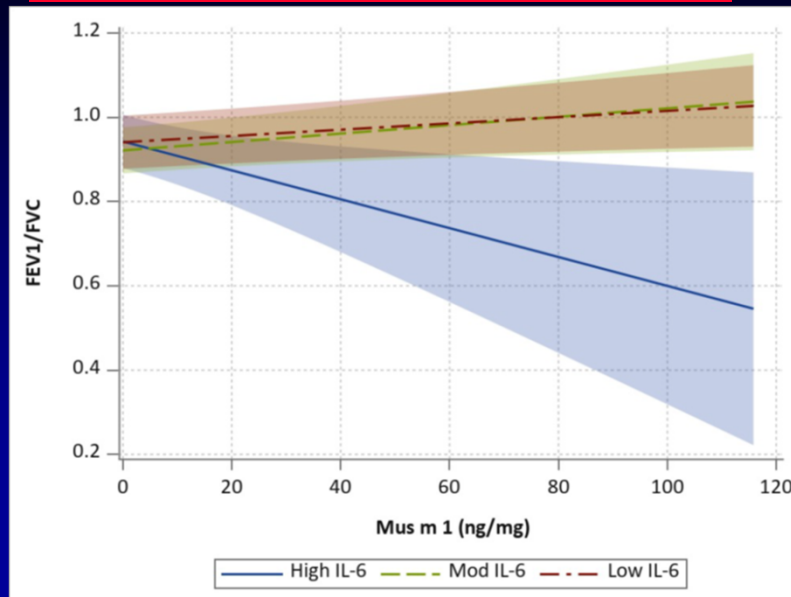
Permaul P, et al JACI Oct 2020

Relationships further modified by cytokines such as IL6

Permaul P, Peters MC, et al JACI In Practice 2021- Severe Asthma Research Program

High Plasma IL-6 Levels May Enhance the Adverse Effects of Mouse Allergen Exposure in Urban Schools on Asthma Morbidity in Children

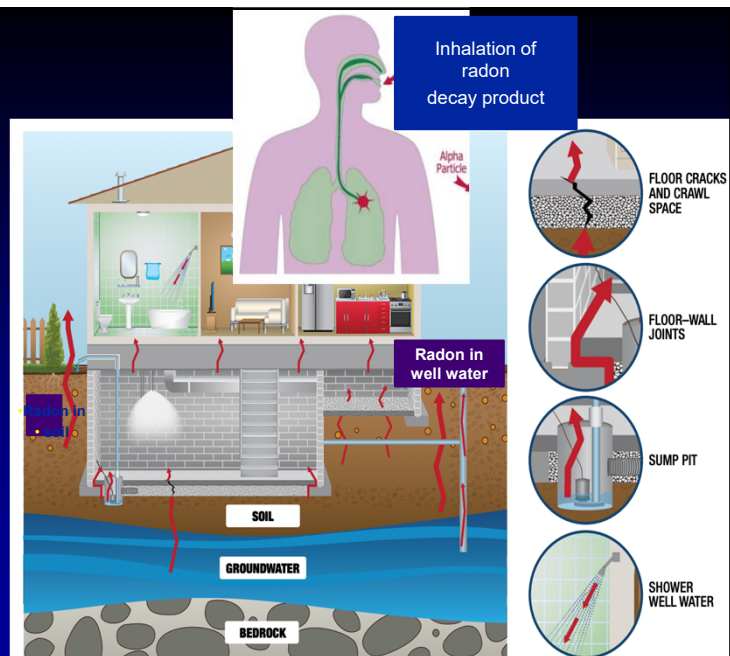
Permaul P, et al JACI
2023



Radon is a naturally radioactive gas
formed by the decay of uranium
radionuclides naturally present in
rocks in the earth's crust
well-known for carcinogenic effects
(lung cancer)
oxidative stress in cell culture
associated with COPD mortality

Asthma is well known to be triggered by
environmental inhalants

No studies to date on radon and asthma
morbidity



CRCPD Publication No. E-18-2

Long-term exposure to Radon is associated with asthma diagnosis in urban youth

Radon exposure (moving avg)	Asthma diagnosis	
	Home	School
1 month	1.2 (0.7-1.92)	1.18 (1.62-6.5) ^b
5 month	1.07 (0.67-1.69)	1.48 (2.15-9.06) ^b
7 month	1.26 (0.77-2.04)	5.19 (2.33-11.5) ^b
12 month	1.41 (0.84-2.34)	
24 month	1.61 (0.93-2.79)	
36 month	2.01 (1.09-3.69) ^a	
48 month	2.15 (1.10-4.17) ^a	
60 month	2.25 (1.11-4.54) ^a	

• Greater effect size with longer exposure window

Short-term exposure to Radon is associated with respiratory symptoms in urban youth

Radon exposure (moving avg)	Wheezing		Nighttime difficulty breathing		Nocturnal cough		Missed school days	
	Home	School	Home	School	Home	School	Home	School
1 month	1.05 (0.65-1.67)	1.76 (0.89-3.48)	0.79 (0.39-1.62)	2.18 (0.88-5.42)	1.21 (0.77-1.89)	1.55 (0.82-2.95)	1.18 (0.64-2.19)	5.27 (2.09-13.2) ^b
5 month	1.03 (0.65-1.62)	2.68 (1.34-5.38) ^b	0.80 (0.40-1.61)	3.53 (1.38-9.04) ^b	1.20 (0.78-1.85)	2.36 (1.23-4.53) ^b	1.12 (0.61-2.04)	7.63 (2.91-20) ^b
7 month	1.16 (0.71-1.89)	2.91 (1.34-6.33) ^b	0.98 (0.48-1.99)	4.46 (1.58-12.54) ^b	1.37 (0.86-2.19)	2.5 (1.21-5.18) ^a	1.24 (0.66-2.33)	8.98 (3.12-25) ^b

Long-term exposure to Radon is associated with respiratory symptoms in urban youth

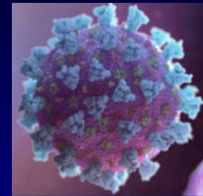
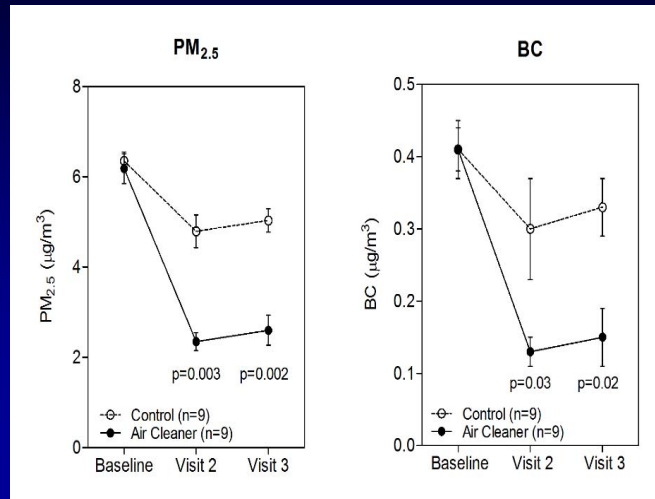
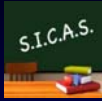
12 month	1.2 (0.71-2.01)		1.08 (0.52-2.23)		1.50 (0.91-2.46)		1.36 (0.71-2.61)	
24 month	1.34 (0.77-2.33)		1.15 (0.52-2.52)		1.76 (1.03-3) ^a		1.48 (0.74-2.98)	
36 month	1.55 (0.84-2.84)		1.27 (0.54-2.96)		2 (1.11-3.62) ^a			
48 month	1.67 (0.86-3.22)		1.31 (0.52-3.31)		2.24 (1.17-4.25) ^a		1.83 (0.79-4.22)	
60 month	1.75 (0.87-3.51)		1.31 (0.49-3.48)		2.43 (1.23-4.78) ^b		1.98 (0.81-4.8)	

Mukharesh I, Phinatanakul W, and Gaffin JM. Peds Pulmonology 2022

Some lessons learned for far..

- The home is important in asthma morbidity and racial disparities
- Multi-faceted home interventions help and could potentially modify the disease
- Reducing mouse allergen in homes can work as well as ICS and benefits can last longer
- School environment is important in asthma disparities even after adjusting for home (particularly, mouse/mold allergen, pollutants, (radioactive particles?)) and other risk factors (obesity, sleep issues, inflammatory markers) augment this risk
- Can we do anything about this? Can we intervene in the schools and make an impact?

Classroom HEPA Filters Reduce Particulate Pollutants and Airborne Allergens Compared to Sham



BA.2.86

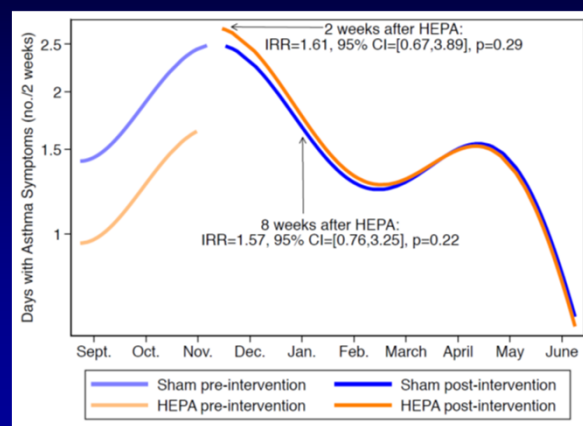
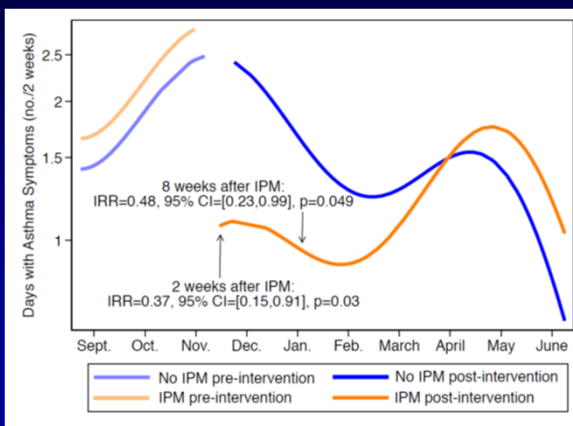
Lai PS R21 NIAID
Molecular
epidemiology of
viruses in Schools
NIEHS R21-HEPA
cleaners, and viruses
in schools- SICAS 4

Jhun, Phipatanakul, JACI in Practice 2017; 5(1):154-159

Phipatanakul, W, et al JMA Sept 2021



Time Effect of the School IPM and Classroom HEPA on Health Effects



Phipatanakul, W, et al JAMA Sept 2021

Classroom HEPA filtration in Students Exposed to Higher Indoor Classroom Mold than at Home

	HEPA (N=43)	Sham (N=38)	P
Group 1 (indoor mold)	-5.44	-3.30	0.025
Group 2 (outdoor mold)	-4.15	-3.93	0.330
Environmental Relative Mold Index (ERMI)	-1.29	+0.63	0.026
FEV1% increase	4.69	0.47	0.034



Vesper, S, et al 2022 J Asthma



EDITORIAL

JAMA

School Classrooms as Targets to Reduce Allergens and Improve Asthma

William W. Busse, MD, Daniel J. Jackson, MD



...” implementing allergen avoidance with targeted environments and in selected patients may improve the likelihood of success....”

“Childhood asthma often is a lifelong disease”

“Early life efforts to improve asthma control that are safe and effective may diminish consequences and need for systemic corticosteroids...”

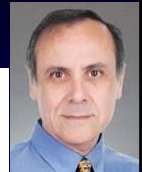
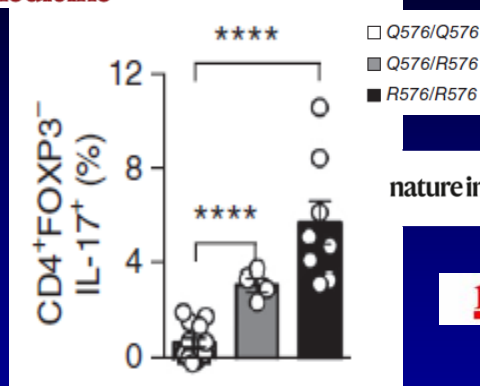
“Allergen avoidance for asthma is safe, rational, and remains worthy of continued consideration and study”

Taking What We Learned in Schools to Precision Medicine

An asthma associated IL4R polymorphism Increases Airway Inflammation by Conversion of regulatory T cells to Th₁₇-like Cells

- IL-4R α -Q576R polymorphism- (glutamine (Q) to arginine R substitution at position 576 of the IL-4R α)
 - R allele frequency 68% (blacks/hispanics); 20% (whites)
 - R allele associated with severe asthma
 - Unique among *IL4R* polymorphisms, directly drives T_H2 to T_H17 inflammatory response in the airways
 - Dose response relation with severity
 - Augmented by obesity

nature
medicine



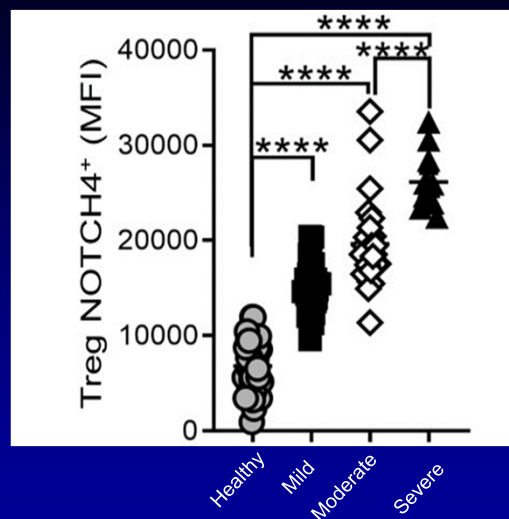
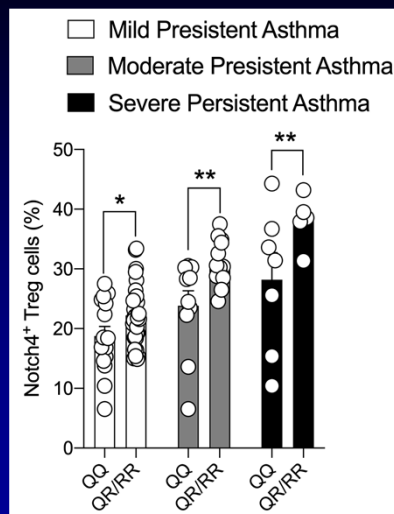
nature immunology

nature



Massoud et al, Nat Med 2016; 22(9):1013-22
Hani H, et al Nature Immunol November, 2020
Babat, S, et al Nature March 2021

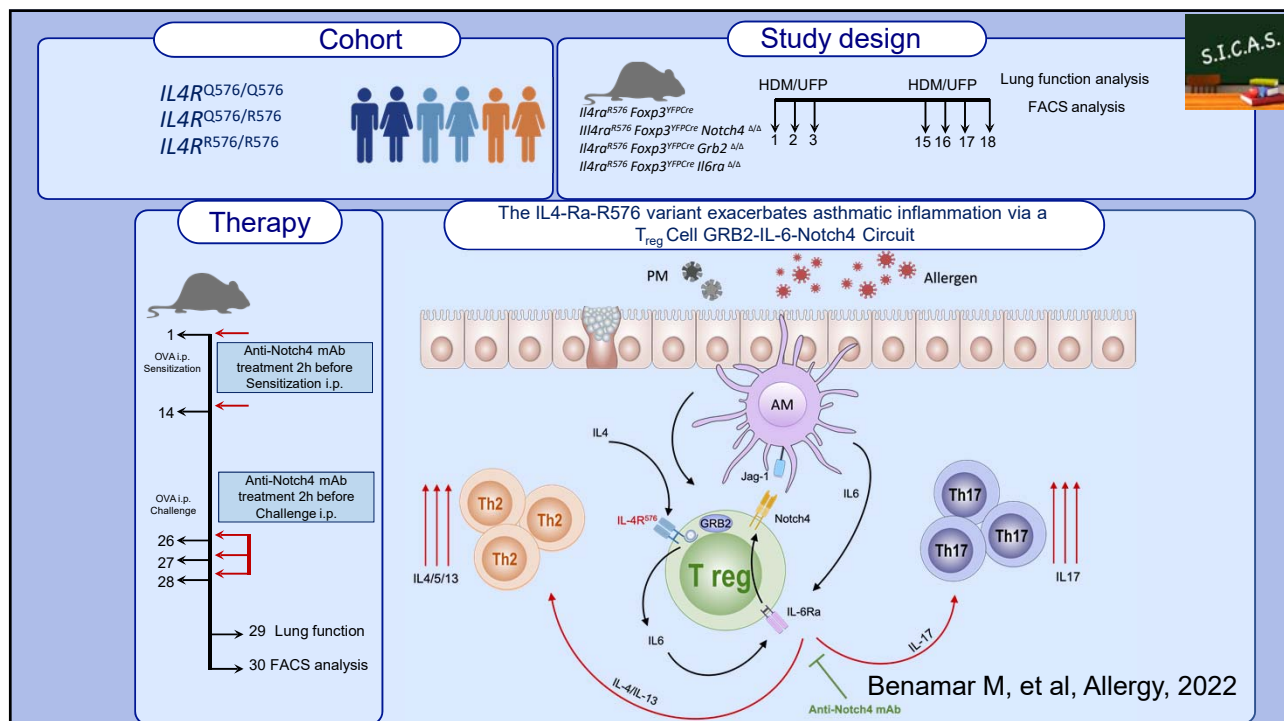
IL-4RαR576 impacts % circulating NOTCH4⁺ Tregs and asthma severity



Persistent Asthma



Hani H, et al ... Phipatanakul W, and Chatila T, Nature Immunol November, 2020



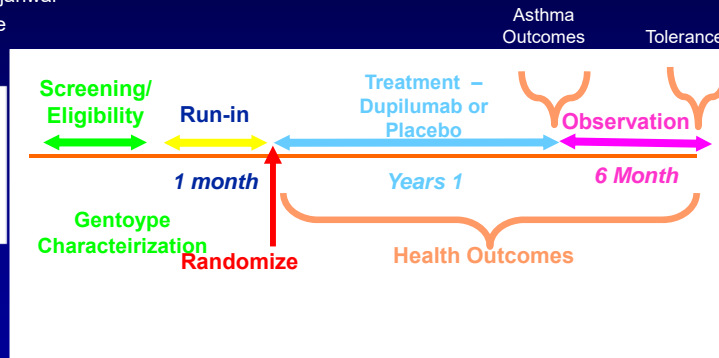
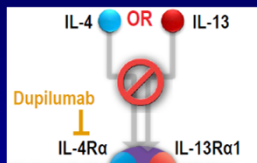


Investigating Dupilumab's Effect in Asthma by Genotype IDEA Trial

<https://ideaasthma.org>

Boston- Phipatanakul/Israel
New Jersey- Oppenheimer
Michigan- Kim/Zoratti
Cleveland-Kaleb
NY-Montefiore- Sjarawal
U Penn- Bamarjee

NIH U01 AI143514 – Phipatanakul/Chatila
3 Groups by Genotype 1: 1 Dupilumab vs. Placebo

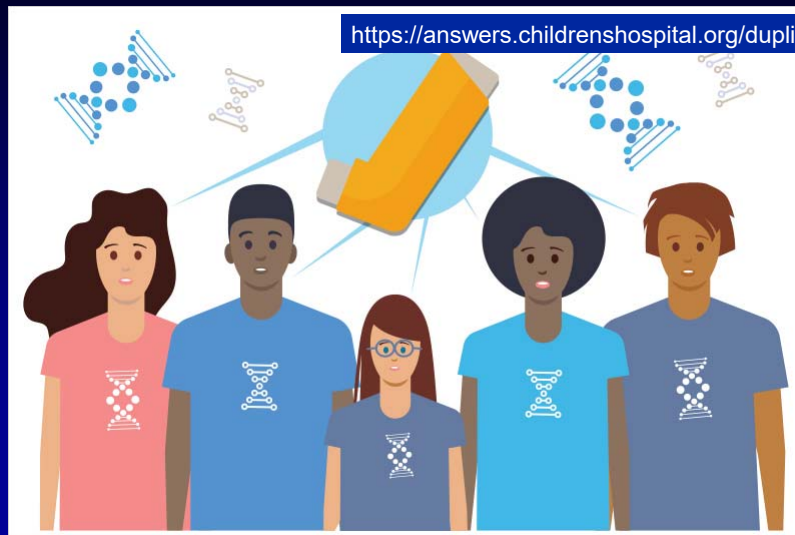


Will investigate genotype driven (personalized) response to therapy and study preliminary mechanisms in disease modification

Trial for severe asthma targets a mutation common in children of color



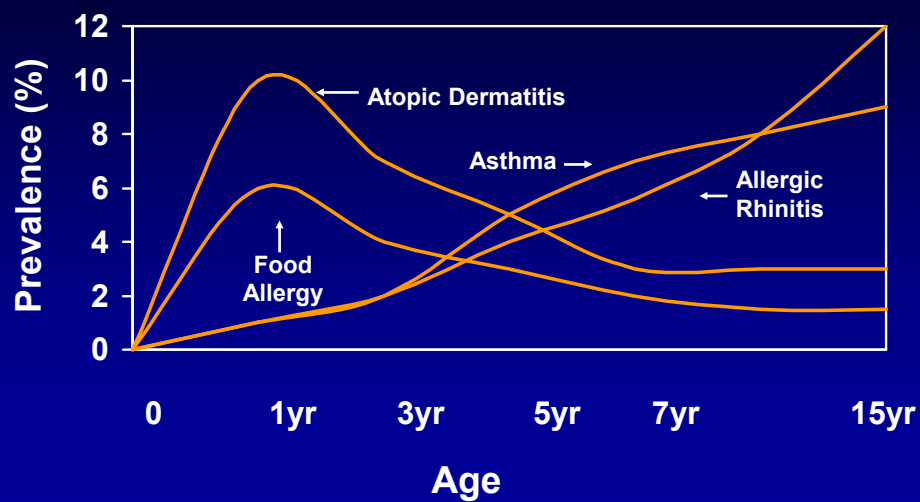
<https://answers.childrenshospital.org/dupilimab-asthma/>



<https://ideaasthma.org>

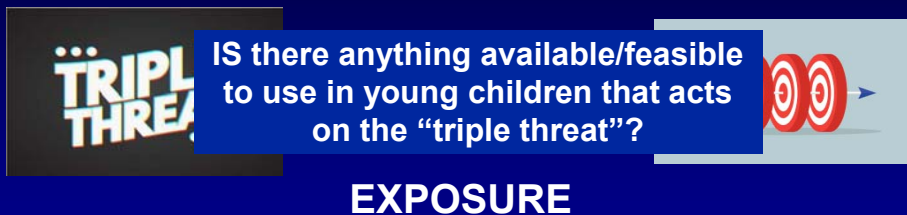
Biologics in Disease Modification and Prevention

IgE Mediated “Allergic/Atopic March”



Triple Threat: Important in the Development of Asthma

ATOPY

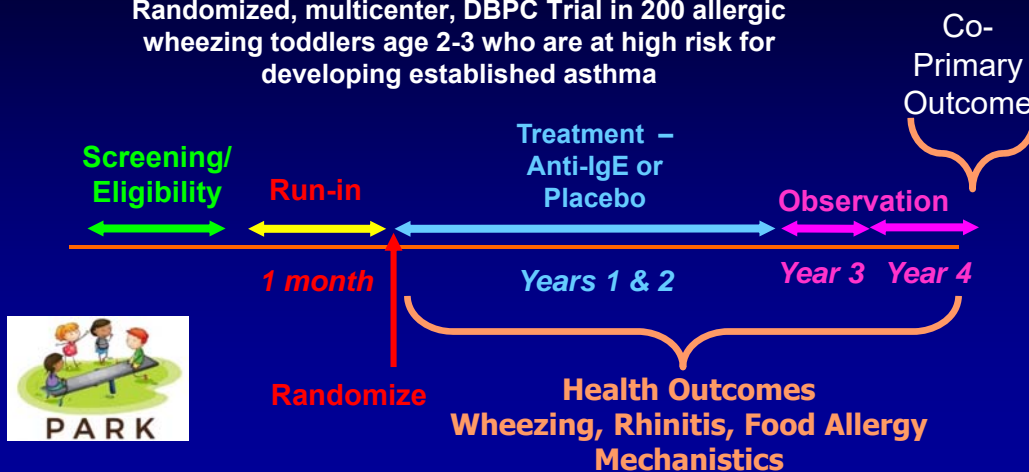


Preventing Asthma in High Risk Kids- PARK

<https://answers.childrenshospital.org/asthma-prevention-xolair/>

U01AI126614- Principal Investigator Phipatanakul- NCT02570984

Randomized, multicenter, DBPC Trial in 200 allergic wheezing toddlers age 2-3 who are at high risk for developing established asthma



Can asthma be nipped in the bud?

Posted on August 2, 2016 by Nancy Flesler Posted in Pediatrics, Therapeutics

More On: [asthma, clinical trials, Division of Allergy and Immunology, Wanda Phipatanakul](#)



A multicenter randomized trial is testing omalizumab (Xolair) in wheezy toddlers. (FDA/Wikimedia Commons)



The randomized, double-blind **Preventing Asthma in High Risk Kids (PARK)** trial is supported by a seven-year, \$20 million Asthma Prevention Grant from the NIH's National Institute of Allergy and Infectious Diseases (NIAID). By its end, it will enroll 250 wheezy 2- and 3-year-olds with a positive allergy test. The children will receive either Xolair or placebo and will be followed for four years — two years on the treatment, then two years off — to see what proportion of each group develops active asthma as defined by NIAID.

<https://answers.childrenshospital.org/asthma-prevention-xolair/>

In Summary...

- School environment is important in asthma morbidity, where nearly every child spends their day
- We can intervene on relevant school specific exposures- but more sustained measures are needed to have lasting benefit on health
- Decade of community relationships allow us to expand our work into understanding of risk factors and intervention (i.e. obesity, metabolic syndrome, cytokines), microbial and other exposures, and gene x environment interactions in a home/school setting and its effects on disease – Timeliness of results can help inform future strategies to provide healthy environments for kids in school- which is critical in the wake of the pandemic

Future Directions

- **Biologics have changed the landscape on immune based therapies in the treatment of asthma necessitating Precision-Biomarker Driven Approaches**
- **NIH has several ongoing major efforts in Precision Medicine**
1)genotype stratified precision therapy (IDEA), 2) novel therapies in an adaptive trial design (PreCISE)
- **Understanding the role of IgE targeted biologics in allergic and rhinovirus induced disease have paved the way to consider immune based strategies to prevention (PARK)**
- **In the next decade we will have major advancements in understanding what may work in prevention and modifying disease progression -stay tuned**

Acknowledgements/Funding

COLLABORATORS

- Talal Chatila, MD-Immunology/Genetics
- Diane Gold, MD, MPH-Environment
- Elliot Israel, MD-Clinical Trials
- Petros Koustrakis, PhD-Monitoring
- Carter Petty, MS-Stats
- Brent Coull, PhD-Stats
- Andrea Baccarelli, MD, Molecular Bio
- Susan Redline, MD, Sleep, EASY
- Hans Oettgen, MD, PhD- IgE mechanism

K-R from Lab

- Jon Gaffin, MD, MMSc R01 ES030100
- Peggy Lai, MD, MPH, R01AI144119, R21 AI 17965/ R21178155
- Melody Duvall, MD, PHD R01 NHLBI

K23 Trainees

- Margee Louisias, MD Bristol Myers Trial Award, NIH LRP
- Marissa Hauptman, MD MPH HD0757270, PEPR GIS, K23
- William Sheehan, MD K23 AI104780,DC
- Perdita Permaul, MD, K-23 AI123517, LRP, NY
- David Kantor, MD, PhD, K-23 HL138162
- Lisa Bartnikas, MD, K23 AI125732 , LRP
- Elena Crestani, MD K23 AI146289
- Marike Rosenbaum, DVM, K23 ES 035460
- Tina Banzon, MD K23 ES 03545
- Medine Jackson-Browne, PhD- Diversity Supplement- K23

NIH Funding

- U01 AI143514- IDEA (Phipatanakul/Chatila)
- R01AI073964 /U01 AI 110397 (SICAS 1-SICAS2) (Phipatanakul)
- U01 AI 08328 MAAIT (Matsui/Phipatanakul)
- R01HL137192 (Phipatanakul) EASY-SLEEP
- U01AI126614(Phipatanakul) PARK
- Mech-Oettgen- Genentech
- K24 AI 106822 (Phipatanakul)
- U10HL146002 (Levy/Israel SARP)
- U19AR069526- PEPR (Lai/Paller)
- IOF GIS/Activity FitBit IOF
- U01 HL 1300045 (Martinez)- ORBEX
- UG1 HL139124 (Israel- PreCISE)
- R01AI065617/R21 AI 132843 (Chatila)
- U01AI152033-Geha/Phipatanakul- ADRN
- U01 AI 160087- Phipatanakul/Chatila-CAUSE SICAS 3

FELLOWS

- Carmela Socolovsky, MD Pulmonary
- Sigfus Gunlaugsson, MD Pulmonary
- Lana Mukharesh, MD Pumonary
- Julia Lee, MD, Pulmonary
- Ye Sun, Bob Sun, MD Pulmonary
- Ellen Conroy, MD, Allergy/Immunology
- Nicole Comfort F31 ES030973
- Seyni Gueye-Ndiave, MD- Sleep Fellow/ Faculty



Preventing Asthma in High Risk Kids (PARK)

PI: Phipatanakul U01AI126614 clinicaltrials.gov NCT02570984 parkstudy.org

- Elliot Israel, MD
- DCC-Penn State University- Dave Mauger, PhD
- Hans Oettgen, MD, PhD, Mechanistic Lead

Clinical Centers

- Boston- Wanda Phipatanakul, MD, MS
- Atlanta – Anne Fitzpatrick, PhD, APRN
- Chicago- Elizabeth Lippner, MD
- Cincinnati- Theresa Guilbert, MD, MS
- Denver- Andy Liu, MD
- Hartford- Craig Lapin, MD
- Houston- Carla Walker, MD
- Indianapolis- Kirsten Kloepper, MD
- Madison –Daniel Jackson, MD
- San Diego-Sydney Leibel, MD
- St. Louis – Jeffrey Stokes, MD
- Phoenix– Cindy Bauer, MD
- Washington, DC- Stephen Teach, MD

NIAID, Genentech/Novartis, Alk Abello, GSK, Lincoln Diagnostics Kaleo,
Monaghan, Thermo Fisher asthma@childrens.harvard.edu 857-218-5336
wanda.phipatanakul@childrens.harvard.edu

